

# INDICATORS FOR SUSTAINABLE PROSPERITY? —CHALLENGES AND POTENTIALS FOR INDICATOR USE IN POLITICAL PROCESSES

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**CUSP** An ESRC Research Centre

Working Paper Series ISSN: 2397-8341 The Centre for the Understanding of Sustainable Prosperity is funded by the Economic and Social Research Council (ESRC). The overall aim of CUSP is to explore the economic, ecological, social and governance dimensions of sustainable prosperity and to make concrete recommendations to government, business and civil society in pursuit of it. For more information about the research programme, please visit: **cusp.ac.uk**.

# Publication

Jones, A, Mair, S, Ward, J, Druckman, A, Lyon, F, Christie, I, Hafner, S: Indicators for Sustainable Prosperity? - Challenges and potentials for indicator use in political processes. CUSP Working Paper No. 03 . Guildford: University of Surrey. *Online at: www.cusp.ac.uk/publications.* 

# Acknowledgements

The financial support of the Economic and Social Research Council for the Centre for the Understanding of Sustanable Prosperity (ESRC grant no: ES/M010163/1) is gratefully acknowledged.

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# Abstract

The use of quantified indicators for the implementation and measurement of social progress is a well-established policy tool. However, any form of 'social progress' is inherently contested and a meaningful application of indicators in such contexts poses numerous challenges. In this paper we explore how indicators might be used to research and implement *sustainable prosperity*. We do this by reviewing key critiques of indicators and their political use (and misuse), drawing out lessons from previous indicator projects such as the UN Sustainable Development Goals, and Taking Part. We argue that because classic indicators rely on simplification and quantification, they struggle to do justice to sustainable prosperity which come with objectives like conflicting understandings and contain unquantifiable subjective elements. Indicators can only be a partial representation of sustainable prosperity, we find, and thus should not be understood as a way to measure it, but a way of articulating a particular set of political priorities. This way indicators can be a useful tool for constructing new understandings, holding powerful actors to account and enabling engagement with policy end goals.

# **1** Introduction

Indicators are widely perceived to be useful tools for researching and guiding various forms of societal progress, including sustainability (Singh et al., 2012), human rights (Merry, 2011) and wellbeing (Self and Randall, 2013). For example, the Compendium of Sustainability Indicator Initiatives lists 895 sustainability initiatives that either use or develop sustainability indicators (IISD, 2011). Likewise, many national and international sustainability plans have made indicators a key part of their implementation (Lyytimäk, 2012). A high profile case is the United Nations (UN) post-2015 development plan, Agenda 2030. Signed off by 193 countries, Agenda 2030 is centred on 17 'Sustainable Development Goals' with more than 200 indicators. The indicators are described as essential "to help with the measurement of progress", "ensure that no one is left behind", and "key to decision making" (UN, 2015, p. 12). In this paper we explore the extent to which such claims about the role of indicators are justified.

#### 1.1 The Pros and Cons of Indicator Use

Indicators are central to many sustainability and other socio-cultural projects because they are a useful way to generate knowledge of, and communicate about, complex issues. Indicators break complex issues into more readily understood chunks of information thus allowing communication between experts and non-experts (Merry, 2011, Morse, 2016). Likewise, through selecting and measuring a finite set of quantified indicators that approximate the essential elements of a concept, experts can 'measure' an otherwise immeasurable entity (Turnhout et al., 2007). There is a long history of using indicators in this way in the biological sciences, particularly ecology (Bell and Morse, 2008) and indicators are applied similarly in sustainability research (for example, Mair et al., 2016)). In such cases, indicators can function as an analytical structure, mediating between the nuanced, complex, and difficult to interrogate concept of sustainability and the blunt analytical tools with which complex systems can be investigated. Additionally, indicators are necessary inputs for the investigation of complex concepts by other research tools such as models.

However, indicators have been widely critiqued. Indicators are reductionist analytical tools and their use risks oversimplification, particularly in highly complex and contested contexts (Morse and Bell, 2008; Merry, 2011) where their use can often hide the complexity and interrelations of the underlying system. This can be especially problematic because of how users interact with indicators. A selected set of indicators to measure a certain concept, such as sustainable prosperity, is often assumed to be objective and a complete description of the concept it measures. However, in reality the choice of particular indicators is often value-laden and incomplete (Merry, 2011, Porter, 1995). Additionally, indicators can be biased by the specific indicator construct (conceptualization of the indicator), the determination of the representative sample used to gather data or the choice of statistical methods for the data aggregation. Moreover, indicators help us to construct knowledge and guide decision making. Consequently, where they inadequately describe a contested concept, that concept may even become re-defined in terms of its indicators (Bell and Morse, 2008, Espeland and Sauder, 2007). This can lead to policies and strategies that focus on what is measurable rather than addressing less tangible or measurable issues. For example, the use of GDP as an indicator of societal progress has led to a reframing of societal progress as predominantly about increased productive capacity of the economy, creating a 'growth imperative' (Jackson, 2016).

In fact, GDP is a particularly pertinent example of the dangers of indicators. First it is an inadequate measure of societal progress because it misses important

factors that contribute to broader conceptions of progress (Prescott-Allen, 2001, Stiglitz et al., 2009, Anderson, 2014). Furthermore, GDP growth is strongly correlated with negative environmental impacts (Antal, 2014) and the extent to which it can solve social problems is questionable (Victor, 2007), at least in the case of developed countries. Such criticisms occasionally find an echo in political discourse. Robert Kennedy (1968) famously disparaged economic growth as a measure of progress:

"Gross National Product counts air pollution and cigarette advertising, and ambulances to clear our highways of carnage... Yet the gross national product not does not allow for the health of our children, the quality of their education or the joy of their play... it can tell us everything about America except why we are proud that we are Americans."

Likewise, Tony Blair (DETR, 1999) argued:

"there is a growing realisation that real progress cannot be measured by money alone...But in the past, governments have seemed to forget this. Success has been measured by economic growth - GDP - alone. We have failed to see how our economy, our environment and our society are all one. And that delivering the best possible quality of life for us all means more than concentrating solely on economic growth."

However, for all such misgivings and promises to change focus, policymakers have yet to move on from the use of GDP as a proxy measure of progress. We see this even in the SDGs, which have been widely praised as "holistic" and for moving the development away from GDP alone, but nonetheless have an entire goal (Goal 8) which not only aims to promote sustained economic growth (measured as GDP), but also conflates GDP growth with concerns about decent jobs. GDP growth continues to be the principal objective of most government policy (Victor, 2007), and there is little sign that this is changing.

# 1.2 Indicators for a Better Future?

As researchers and citizens, the authors of this paper are interested in how indicators may or may not be used to help imagine, explore and create a better future. Specifically, all the authors of this paper are engaged in work and research into 'sustainable prosperity'. Sustainability and prosperity are both ideas that have been widely used in plans for societal progress (the UN Global Goals, for example, use both). We understand sustainable prosperity as a good

future, a world in which people everywhere have the capability to flourish as human beings whilst remaining within the ecological and resource constraints of a finite planet (Jackson et al., 2016). However, sustainable prosperity remains a highly contested concept and, given that indicators can be problematic in the absence of a fully agreed definition, the use of indicators to flesh it out should be approached with care.

Therefore, in this paper we critically engage with indicators, particularly where they have been used in the context of contested and complex phenomena. Based on a review of the literature, we critique the use of indicators as they have been used for various socio-cultural projects, with a view to understanding how they may be used in our work on sustainable prosperity.

The rest of the paper is structured as follows. In the next section we describe sustainable prosperity as a contested concept. In section 3 we highlight that indicators of contested concepts are not neutral, but instead represent a particular perspective on an issue. We then demonstrate the difficulties this raises, illustrated in relation to the United Kingdom (UK), European Union (EU) and United Nations (UN) sustainable development indicator sets (see Appendix). In section 4 we elaborate on this, highlighting how indicators of contested concepts risk oversimplification as they struggle to deal with the complexity of moral and ethical problems. Finally, in section 5 we mount a qualified defence of indicators, arguing that although these two critiques should influence how we use indicators in contested systems, they do not altogether negate their usefulness.

# 2 Sustainable Prosperity

'Sustainable Prosperity' is a highly contested concept. Both sustainability and prosperity relate to issues that are very subjective and politically sensitive. Therefore, sustainable prosperity may be more of an affective-cognitive construct (Davern et al., 2007) than a tightly defined analytical term. In other words, sustainable prosperity as a concept, and how it might be measured, is dependent on each person's world view. For example, the precise understanding of sustainable prosperity depends on how political and institutional contexts frame each person's perceptions.

Even the elements of sustainable prosperity that appear to relate to objective physical realities intersect with highly value-laden problems. For example, a

common theme in discussing sustainable prosperity is the presence of physical planetary limits and the impetus they provide for us to reduce environmental damage. While planetary limits are grounded in physical science (Rockström et al., 2009, Steffen et al., 2015) they are constructed in such a way as to keep the human economy in a relatively benign environment. For example, a biodiversity 'limit' assumes that we value today's biodiversity more than historic or future biodiversity and does not account for the idea that a new biodiversity could flourish under the conditions of a changed environment. Furthermore, the impact of planetary limits on our ability to live good lives is highly contested and subjective. We take the view that any understanding of sustainable prosperity must be cognizant of these physical limits, thus positioning sustainable prosperity as a 'strong' view of sustainability where natural capital and manmade capital are complements rather than substitutes (Daly et al., 1995). But of course this too is contentious. As the name 'strong' sustainability suggests, there is an alternative reading of the impact of planetary limits ('weak' sustainability) which views them as being of negligible importance in the construction of sustainable policies.

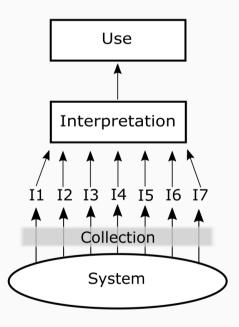
Other elements of sustainable prosperity (indicators) are also contested. For example, central to our understanding of sustainable prosperity is that it is a multi-dimensional concept about more than just the economic health of society. In our view, a prosperous society is one that is concerned not only with income and financial wealth, but also with the health and wellbeing of its citizens, with access to good quality education, and with their prospects for decent and rewarding work. Sustainable prosperity enables basic individual rights and freedoms but also goes beyond this and allows people to flourish. But what is flourishing? What are the capabilities that enable flourishing?

Likewise, for us, inter- and intra-generational justice and equity is a core element of sustainable prosperity, such issues can be measured by indicators such as the Gini coefficient or the more general 'poverty reduction'. Another element that could be important is civil engagement and democratic inclusiveness, and the autonomy to act, particularly at the community level (Böhmelt et al., 2015, Howard and Wheeler, 2015). In achieving sustainable prosperity, issues concerning finance and the economy are also likely to play an important role, as are issues around diversity of ownership, and investment models (Vickers and Lyon, 2014, Jackson and Victor, 2016).

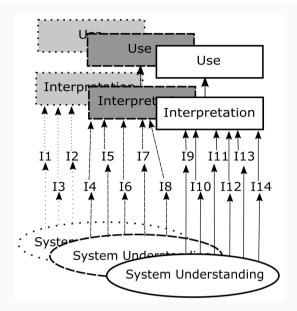
The indicators we choose are influenced by the vision of prosperity that we have, and choosing the wrong indicator will lead to the 'wrong' prosperity. We explore this difficulty in the next section.

# 3 Indicators are limited interpretations, not objective descriptions.

Contested concepts defy the naive understanding of indicators as readily digestible representations of the essential components of a larger system. In this theory researchers construct new information or communicate about the system as a whole (Bell and Morse, 2008, Figure 1) by combining and interpreting multiple indicators of the system. Although this understanding is applicable in perfectly objective and well understood systems, contested concepts, conversely, are characterised by multiple and conflicting ideas about how any given system works. As a result, any given indicator set is only able to represent a subset of these understandings and the differences in these understandings result in different indicators (Meadows, 1998, Davis et al., 2015). Therefore, an indicator of a contested system should not be understood as a piece of information about a system, but a piece of information reflecting how an individual or group conceptualises that system (Figure 2).



*Figure 1: The naïve view of indicators (I),* adapted from Bell and Morse (2008). '11 to 17 collect information (indicated by arrows) about the system.



*Figure 2: The concept of indicators as applied to highly contested and badly understood systems. While there are multiple conflicting understandings of contested systems, a given indicator set can only represent one (or a small subset) of those understandings.* 

# 3.1 Three different understandings of Sustainable Development

To illustrate how the ability to represent only a limited perspective creates difficulties for those who would use indicators in contested systems, we compare three indicator frameworks that aim to measure and implement sustainable development. The UK (Lofts and Macrory, 2015), EU (Eurostat, 2015) and United Nations (through the Sustainable Development Goals - SDGs) have each developed a set of sustainability indicators. Comparing these three indicator sets is instructive because they have substantial differences, despite all being focused on the same concept and being primarily designed for the same users (nation states).

It is not just that the processes leading to these sets of indicators could not agree on common statistical metrics – *they fundamentally could not agree on how to frame the indicator sets*. At the most basic level, each set contains a different number of indicators or targets (see Appendix):

- SDGs 17 goals, 169 targets, 230 Indicators
- EU sustainable development indicators (SDIs) 10 thematic areas (with headline indicator), 132 indicators
- UK SDIs 3 thematic areas, 66 indicators

Looking deeper, there are only three indicators that use the same statistical measure across the three frameworks: 1) GDP per capita, 2) Greenhouse gas emissions, 3) Share of renewable energy. Likewise, there are only six further indicators that have a common outcome even if the statistical measure is not exactly the same: 1) Increase research and development, 2) Reduce air pollution (or the impact of air pollution), 3) Increase water efficiency, 4) Increase river quality, 5) Regulation of fishing, 6) Protection of forests. Some of these differences are understandable: it is natural that a national framework to measure progress towards sustainability may have different targets from an international framework (there is little reason for the UK to have a national target relating to rainforests, for example). However, many of the differences are less intuitive.

Several key aspects of the frameworks appear common in nature but offer very different indicator sets. For example, 'poverty' appears in all three indicator sets but with a number of different statistical measures. The UN's Global Goals' (SDGs) poverty indicators focus on the proportion of a national population suffering from various dimensions of poverty. Income and monetary aspects of poverty are captured through measures of people living below national and international poverty lines, while more social dimensions of poverty are captured by measuring the proportion of the population unable to access social protection systems and lacking access to basic services. In contrast, the UK's poverty SDIs focus predominantly on the proportion of children living in low income households, where income is defined both in absolute and relative terms.

Indicators both reflect and help construct theoretical perspectives and problem conceptualisations (Merry, 2011): the differences in the UK SDI and the SDG poverty indicators represent alternative understandings of poverty. The UK SDIs emerge from a conceptualisation of poverty as a primarily monetary problem, albeit with a role for societal norms around income. Further, the UK SDI theory of poverty sees households with children as the most at risk group (either because they are most likely to be affected, or likely to suffer the most). Conversely, the SDG poverty indicators emerge from a conceptualisation of poverty as problem that is broader than income alone (hence the inclusion of indicators on societal safety nets); they are based on a view of poverty as a problem for people of all ages (with indicators focused on a range of demographics); and they do not view societal norms as particularly important. Instead the SDGs focus on the absolute understanding of poverty and have no explicit indicators for relative poverty.

Beyond poverty, there are other examples of differences in the three indicator sets that reflect differences in theoretical understandings. For example, public health, where the SDGs and the EU SDIs focus on specific measurable health outcomes (such as maternal mortality, mental health, suicide rates or access to health care), the UK SDIs take a broader perspective that also encompasses more indirect influences on mental health, such as civic participation, whether people perceive that their neighbours can be trusted and if they have close relationships. In the main, these concepts are missing from the SDGs and EU SDIs. On the other hand, absent at the UK level are measures of trust in governance. The promotion of the rule of law, reduction in corruption, access to information, voter turnout and confidence in government are measured at both SDG and EU SDI levels (in different ways).

### 3.2 Indicators shape how we view the world

The differences in the three indicator sets may also drive outcomes that undermine each other. Indicators refocus attention on to the elements of a concept that they measure and away from the elements that they do not. In this way, indicators direct how their users think about and attempt to deal with the concept itself (Espeland and Sauder, 2007, Merry, 2011). For example, the UK SDIs include a measure of the origin of food consumed in the UK, while the EU SDIs include a measure of imports from developing countries by group of products. Therefore, at the UK level there is a target to reduce food imports while at the EU level there is a target to increase them (albeit from a specific set of countries). Likewise it seems reasonable to suggest that that the UK SDI poverty indicators will result in different policies and outcomes than the SDG poverty indicators. For example, if the UK reduced the coverage of its social protection systems but simultaneously increased the average income of households with children, poverty could get both worse (according to the SDG definition) and better (according to the UK SDI definition)!

# 4 Indicators struggle with unquantifiable, moral issues.

Indicators are further challenged by the difficulty of codifying, quantifying and linking important elements of contested concepts. The chief utility of indicators comes from their simplification of complex issues, making the ideas more

manageable. By definition, this requires losing information. Often, this information is the contextual and qualitative, discarded because it is hard to quantify or otherwise codify, rather than because it is unimportant (Porter, 1995). Moreover, indicator sets have typically been developed without explicit consideration of their ethical basis and the moral assumptions embedded in the choice and content of particular indexes. Fredericks (2014, p.6) argues that *'there is a widespread assumption in modern Western society that technical and ethical assessments are, and should be, completely separable'*. This needs to be rejected, in her view, on the grounds that *'developing indexes without explicit attention to ethics runs the risk of ineffective indexes, or even worse, indexes which drive people away from their vision of sustainability'* (Fredericks, 2014, p. 9). This crucial point about the ethical dimension of indicators can be made also in relation to values concerning aesthetic judgements, cultural goals and ultimate human ends.

### 4.1 Arts, Culture, and Ultimate Ends

Informative examples of the ways in which indicators struggle with messy and difficult to codify ideas and relationships are found in arts and culture indicator initiatives such as 'Taking Part'. This is not to say that arts and culture offer a unique challenge when it comes to the use of indicators, as economic indicators also have significant problems associated with their measurement and use however the Taking Part initiative offers a useful case to explore. Taking Part is perhaps the largest and most prominent attempt to provide data on the cultural sector in England. Research using Taking Part data claims to provide "robust evidence" (DCMS, 2014, p. 4) of links between certain kinds of cultural participation and subjective wellbeing (wellbeing as measured through how individuals describe their own feelings). However, establishing causal links between participation/engagement and other outcomes - such as increased wellbeing – proves difficult. Though quantitative analyses from the UK, as well as Canada, Italy and elsewhere, demonstrate a link between engagement in art and culture, and wellbeing, for many, "the challenges of disentangling confounding variables and establishing directions of causality remain" (Crossick and Kaszynska, 2016, p. 38).

Daly's Pyramid (Meadows, 1998; Figure 3), is a useful framework for understanding why connecting the Taking Part participation indicators to 'wellbeing' is difficult.

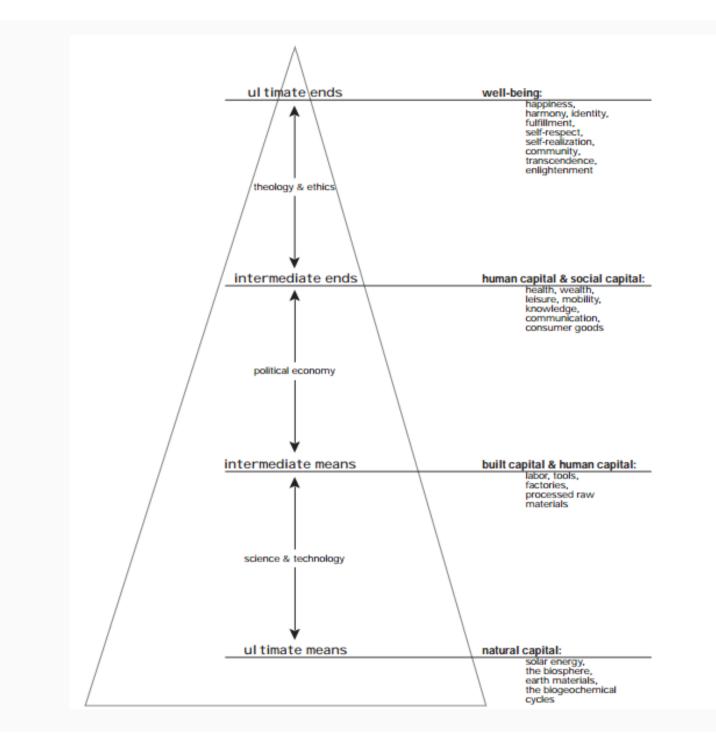


Figure 3. Daly's Pyramid, taken from Meadows (1998)

Daly's Pyramid frames indicators as falling into one of four categories: at the top of the pyramid are Ultimate Ends – happiness, wellbeing, flourishing. These are the things that we strive for, the high level concepts that together (arguably) constitute prosperity. At the base of the pyramid are the Ultimate Means – the fundamental earth systems without which we could not survive, let alone

prosper. In between the two are intermediate means (human labour, tools, processed raw materials etc.) which are used to produce intermediate ends (consumer goods, knowledge, services etc.). Intermediate ends are tools that are necessary to achieve our ultimate ends.

Taking Part measures intermediate ends, gathering information about participation and engagement in the arts, museums and galleries, archives, libraries, heritage, and sport. The survey includes data on frequency of participation. This is important as it draws a distinction between participation, a binary state whereby an individual participates or not, and engagement, which it suggests is related to the frequency of participation. Further questions aim to uncover drivers and barriers to participation. For example, respondents are asked about service provision in their area (e.g. new or closing facilities), and whether they have experienced a range of life events (such as moving house or illness) that could affect participation. It also captures socio-demographic data, including each respondent's education level, income, occupation, marital status and health. These data are released at 6 monthly intervals and provides headline figures on participation and engagement, broken down by, for example, age, ethnicity or region (DCMS, 2015).

However, 'wellbeing' is an ultimate end, not an intermediate end, and it is in connecting the two that problems arise. The data collected in Taking Part allows researchers to produce models that control for other factors (such as income) and provide a statistical evidence-base for claims about the positive impacts of cultural activity that, importantly, can speak to government objectives premised on public utility and notions of wellbeing (Walmsley, 2012). But, as Daly's Pyramid makes explicit, ultimate ends indicators are the outputs of ethical and theological frameworks. In other words, they emerge from a process of highly personal interpretation informed not only by quantifiable measures but by emotional and moral reasoning. As Walmsley (2012, p. 329) argues, many of the ways that culture and art influence wellbeing are personal and intrinsic, taking us *"into the incommensurable realms of spirituality and emotion"*.

Complexity and immeasurability are not specific to wellbeing and the arts but also to other 'ultimate ends': happiness, harmony, community. How can psychological and personal growth, helping others or creating something new be measured? There may be some proxies for wider social benefits, such as health or education outcomes, but there is also a risk that inputs which are easier to measure, such as spending on health or education systems, do not capture the desired outcomes and, if captured as proxy indicators, become the desired outcomes in themselves. Rather than simply linking subjective wellbeing or

prosperity to cultural or community activity, an approach to understanding how human and social capital (the 'intermediate ends') promotes wellbeing (the 'ultimate ends') through the role of individual capabilities, using indicators such as the ability to exercise creativity, and imagination is needed.

As noted in the introduction of this paper, perhaps the clearest example of a proxy outcome becoming a desired outcome is GDP. GDP is properly understood as an intermediate means on Daly's pyramid: GDP measures the productive capacity of the economy, which we can use to produce intermediate ends and then ultimate ends. Viewed in this way it is unsurprising that increases in GDP often have a weak (or in some cases negative) relationship with ultimate ends such as happiness and health (REFS). GDP is a tool we can use to achieve our ultimate ends, but it will not always be an appropriate tool. But because GDP is much easier to measure than the quality of jobs (SDG 8) or how well the economy meets our needs (EU/UK SDIs), it has become the proverbial hammer and we view all our problems as nails.

Finally, it is worth noting here that the lack of clarity and difficulty of quantification is not confined to the moral aspects of ultimate ends. It takes, as Porter (1995, p. 41) puts it, an enormous amount of effort "*to arrange an unruly humanity into uncomfortable categories*". Consequently, arbitrary exclusion and subjective categorisation are apparent even in the more mundane aspects of intermediate and ultimate ends indicators. Efforts to produce indicators for cultural work, for instance, are hampered by unclear boundaries and distinctions that make even counting the number of cultural workers difficult. Taking a sectoral approach to these labour markets includes large numbers of individuals in non-'creative' roles while excluding cultural/creative workers in non-'creative' industries, while approaches that seek to utilise 'creative intensity' ultimately include consultancy and management roles that have little cultural output (Bakhshi, Freeman & Higgs, 2013; O'Brien & Oakley, 2015: 12-13).

# 4.2 Indicators that ignore essential elements risk undermining the concept they purport to measure.

These issues are particularly problematic because of the power of indicators to shape the thoughts and actions of researchers and decision makers: through indicator use, the more complex and qualitative aspects of ultimate ends risk being lost or ignored. As discussed in 3.2, indicators can come to redefine concepts by directing attention only to those dimensions captured by the

indicator (Merry, 2011). The act of measuring is not passive; rather, it shapes and defines what it is we are measuring, highlighting aspects to be important and, by omission, defining those aspects that are not important. As a result, indicators that ignore important elements of a concept may lead to policies that either overlook or actively conflict with the original concept as it is more broadly understood. In the arts, for example, Oakley et al. (2013, p. 24) point out that 'well-being-friendly' cultural policy may exacerbate current wellbeing inequalities, while also stemming the production of new work that can be viewed as "difficult, upsetting, challenging, or simply solitary".

Furthermore, there is a risk of concepts being redefined at all levels of society. Quantitative measures give the appearance of objectivity and neutrality: numbers often hide the complexity and value-laden nature of the judgements used in their construction (Porter, 1995). Where these constructions are very complex it is difficult for non-experts to challenge the indicator (Merry, 2011). Even where experts are willing to challenge the indicator, if the only dissenting voices have little political power it is easy for the indicator to remain neutral in appearance, and the concept to be re-defined (Espeland and Sauder, 2007).

Arts and culture once again provides a useful example of such risks. Neither art nor culture are included in the UK Office for National Statistics assessment of wellbeing, perhaps because they are too difficult to measure (Walmsley, 2012). Likewise there are no arts or culture based indicators under SDG goal 3 "Ensure healthy lives and *promote well-being* for all at all ages". Instead this goal opts for indicators that are based on much more easily quantified aspects of health such as maternal death rates and rates of new HIV infections. By ignoring arts and culture, wellbeing indicators may reduce actions that promote cultural and artistic dimensions of wellbeing; potentially leading to reduced wellbeing.

# **5 A Qualified Defence of Indicators**

Despite the problems of indicators described in the above sections, we still believe that indicators have a useful, if limited, role to play in implementing sustainable prosperity. This section makes that case. The previous two sections critiqued the use of indicators in contested concepts on the grounds that they are only able to represent a small subset of understandings of that concept, and that they struggle to deal with messiness and complexity, often excluding

important elements on these grounds. Here we draw on these critiques and begin to outline how we see indicators being usefully applied going forwards.

# 5.1 Indicators can clarify political views and increase accountability.

While indicators remove contextual information and obscure the process through which this happens, they also force a clarity and rigour that exposes political priorities and beliefs. This is seen clearly in Agenda 2030. The 17 UN Global Goals for Sustainable Development (SGDs) often describe quite broad concepts that are accepted by a majority of global society and are apparently compatible with national sustainability initiatives. However, the SDG indicators reveal very specific perspectives on these problems some of which directly conflict with national perspectives. We have already discussed how indicators reveal very different conceptualisations of 'poverty' in the UK SDIs and the SDG indicators, but this is not the only example of this in Agenda 2030.

Goal 8 aims to "promote sustained, inclusive, and sustainable economic growth, full and productive employment and decent work for all", a statement broad enough that we can say with some confidence most people could agree with it. However, the SDG indicators have been criticised for failing to fully encapsulate the concept of decent work (Frey and MacNaughton, 2016). The SDGs do not, for example, include any measure of trade union coverage, working poverty rates or working time, all of which are 'main indicators' for decent work according to the International Labour Organisation (ILO, 2016). Moreover, there are no indicators on job satisfaction or fulfilment, reflecting a very different idea of 'decent work' than those for who such ideas are central (see Burchell et al., 2014 for examples). Indeed by conflating decent work with economic growth, and with only 3 of 16 indicators in Goal 8 (average earnings, work place fatalities and labour rights) attempting to measure it (even at a very superficial level), the conceptualisation of decent work at the policy level is shown to be contested at the very least.

This process of making a particular view explicit is, of course, the very same source of difficulty that we discussed in Section 3, here reframed as a strength. That indicators represent only a single perspective is a problem where they are interpreted as neutral fact, but a strength if indicators are understood as a clarification of the worldview. We must be clear here that indicators are no panacea, they do obscure those political judgements made in the construction of the indicator, but they also allow outsiders to see how concepts are being operationalised. So, instead of indicators necessarily re-conceptualising a

problem and enforcing a single narrow view, they can also create a platform for debate and critique of a concept.

In part this is related to the public nature of indicators (Porter, 1995). Examining the decision making processes of the European Union (EU) and the Millennium Challenge Corporation (MCC), Dutta (2015) finds that the use of indicators makes those parts of the MCC decision making process that use indicators relatively transparent because,

"external observers can more easily identify the mechanisms by which decisions are supposed to be made. Such legibility makes a contribution to accountability; where observers can easily discern how a decision was supposed to be made, they can more easily identify deviations in how the decision was actually made." (Dutta, 2015, p. 162)

Similarly, Finnerty (2005), argues that the use of indicators in the Irish National Anti-Poverty Strategy (NAPS) allows the government to be held to account and has formed the basis for much of the critique of the program.

# 5.2. Indicators facilitate new understandings of complex systems.

While indicators may lead us to re-conceptualise issues in ways that somehow lessen or reduce our understanding of an issue, they can also facilitate a helpful re-conceptualisation of knowledge. For example, Porter (1995 p. 37) makes the case that the widespread use of quantified indicators helped to create the idea of society by reframing individual problems as societal:

"Indeed the concept of society was itself a part statistical construct. The regularities of crime and suicide announced in early investigations of 'moral statistics' could evidently not be attributed to the individual. So they became properties instead of 'society'...Similarly, people sometimes found themselves or people they met to be out of work before this had become a statistical phenomenon. The invention of crime rates in the 1830s and unemployment rates around 1900 hinted at a different sort of phenomenon, a condition of society involving collective responsibility rather than an unfortunate or reprehensible condition of individual persons"

By reframing a concept in this way, indicators can help us to consider new options and ways of thinking. As a result, indicators are widely used as tools to highlight problems which then guide a more detailed and contextually-sensitive analysis. For instance, subjective wellbeing indicators show large spread but

relatively stable mean values. They also vary widely across geographical areas. Though the measures themselves do not explain underlying causes, they do highlight a potential problem to be explored further (Seaford, 2013).

The actual process of selecting indicators can also help understanding. This paper recognizes that there may be different views of definitions and configurations of indicators. By engaging in participatory processes, different views can be considered (Fraser et al., 2006, Bell and Morse, 2008) and space can be created for the voices of those who might otherwise be excluded. By understanding the contested nature of indicators, those indicators selected can be refined and the limitations of any research identified. Participatory processes for discussion, co-design and co-implementation of indicators became widely used in the wake of Local Agenda 21 in the 1990s, which gave considerable impetus to community-level initiatives for measuring and practising sustainable development (Warburton, 1998; Buckingham and Theobald, 2003). The basic claim made for such processes is that they can, by engaging local insights, expertise and everyday experience, make indicators both more accountable and accepted, and more reflective of local complexity and qualitative as well as quantitative change (Lawrence, 1998; MacGillivray, 1998; Walker et al, 2000; Chambers, 2008). Despite considerable problems of comparability, scaling and integration into national and international indicator sets (Chambers, 2008), participatory systems for indicator development can generate important insights and build up trust and cooperative capacity (MacGillivray, 1998; Walker et al, 2000).

Indicators also enable quantified forms of analysis that can enhance our understanding of highly complex systems. A key example, in our view, is the use of models to understand dynamic non-linear systems. Such systems are difficult to conceptualise and interrogate without models because multiple inter-linkages and feedback mechanisms can result in counter-intuitive and emergent behaviours (Sterman, 2000). Models can be viewed as tools that mediate between theory and reality. In contrast to indicators, system dynamics models show twoway interlinkages between components, including two-way links between intermediate and end goals, and give information about the underlying structure (causal links between components) of a system. In this view, models draw from both theories and the 'real' world but retain a level of autonomy (Morgan and Mary, 1999). Therefore, they facilitate learning by allowing users to test and refine the mental models (theories, value judgements and assumptions) that they inevitably bring to research (Sterman, 2000, Epstein, 2008, Meadows, 2008). By manipulating models we are able to see how the outputs of a model diverge

(or converge), from the theoretical predictions or 'real world' observations and explore why this is the case. Moreover, where models are sufficiently representative of some aspect of the real world they can be considered 'surrogate' worlds and we can make qualified inferences from our model world to the real world (Sugden, 2000, Mäki, 2009). Indicators are essential in this process because they provide the mechanism that allows the model world, theory and reality to be compared.

Reframing a concept may also be useful for more strategic reasons, particularly where we believe current concepts are inadequate. Indicators may be pursued by communities as a way to try and embed their conceptualisations within decision making processes (Hezri and Dovers, 2006). As discussed in the introduction, GDP is widely considered an inadequate measure of societal progress. Though GDP itself plays a role in creating an idea of societal progress that is inadequate, it is also true that GDP emerged from, and is reinforced by, an inadequate concept of societal progress that centres on material goods (see, for example, Blair, 1999 and Anderson, 2014). It has been suggested that rival indicators present a useful way to challenge GDP and reframe debates on societal progress in a broader way (Cassiers and Thiry, 2014). Indeed, the SDGs have been called transformative because they represent a much broader and more holistic view of societal progress (Hajer et al., 2015, WWF, 2016).

# 5.3 Looking forwards: Indicators for Sustainable Prosperity.

The preceding discussion leads us to take a view on the use of indicators in our sustainable prosperity work (and contested concepts more generally). To promote the use of indicators as tools of clarification and to correct the impression that they are objective, the choice of indicators and their conceptualization should be developed in participatory way, and explicitly linked to a narrative description of a specific sustainable prosperity vision. The literature on participatory indicator development emphasises deliberative construction of visions in conjunction with a variety of stakeholders and then identifying indicators that mean something in the context of that vision (e.g. Bell and Morse, 2008). Indeed, the most effective implementation of sustainability frameworks, such as Local Agenda 21, involved the co-creation of indicators by community groups and other local actors to ensure measures had resonance (see, for example, Barrutia and Echebarria, 2012).

For our own work we propose going slightly beyond this and co-creating multiple alternative, and possibly conflicting, visions of sustainable prosperity (within the understanding outlined in this paper) and then identifying multiple conflicting indicator sets that are meaningful and useful to the specific visions. By developing *intentionally conflicting indicator sets* explicitly tied to specific visions of sustainable prosperity we hope to emphasise the political nature of our indicators. Drawing on the notion that indicators increase levels of accountability and clarity, we hope that this process will also create a space in which we can critically engage with both the indicators and the visions they represent. The alternative indicator sets and visions will be explored using a variety of analytical techniques. This will include, but should not be limited to, different economic models.

# 6 Conclusion

The use of quantified indicators and targets, such as the Sustainable Development Goals, the EU Sustainable Development Indicators or the UK Sustainable Development Indicators, are now a well established policy tool. However, as shown in this paper there is little commonality in the exact statistical measure that these frameworks use. Indeed while the outcomes of these frameworks appear to have some commonality, at least at the broadest level, the measures used are more often against inputs to the system. While the geographic scale of these frameworks is important, and we acknowledge the place specific nature of measurement (indeed we have highlighted the vital aspect of very local measurement of cultural interventions for example), the variance in the measures of inputs in these three frameworks still surprised us.

The common measures across the three frameworks relate to action on climate change and economic growth. The GDP per capita indicator, while straightforward, dominates the political process and without a structured approach to dialogue around policy development it often drives outcomes which are counter to the other indicators included in the frameworks. As the SDGs develop further, and specific indicator targets are agreed, this wider issue of process and the importance of acknowledging the difference between input (means) and outcome (ends) measures should not be lost.

To develop and use indicators which might measure sustainable prosperity poses numerous challenges. For CUSP the process around the political use and misuse

(or disregard) of these indicators is as important as the indicators themselves and this is often not explored in their reporting. This should form an important part of the exploration of prosperity in practice. The limits of indicators, both in regard to what they can measure as well as their historic use to measure things that some perceive as either worthless or indeed driving incorrect behaviours, should also be acknowledged within CUSP's work.

Given the place of arts and culture within CUSP we need to acknowledge the implications of dialogue with this sector in the context of indicators and it will provide a useful case to explore the use of measures and indicators. For example, indicators of cultural consumption are important in understanding who is (and isn't) participating. However, these types of indicators need to be sensitive to amateur, vernacular and everyday kinds of activity outside funded, legitimated organisations as well as account for the aversion, or outright hostility, on the part of practitioners and arts organisations towards attempts to measure, evaluate and quantify their activity, and the perceived instrumentalisation of art and culture. Thinking about how to capture social capital in ways that provides a basis for comparison may also be important in understanding how it affects health, wellbeing and other markers of sustainable prosperity. If art and culture, alternative investment models or increasing community development through social enterprise, can promote a kind of sustainable prosperity then we must understand, as a matter of social justice and perhaps environmental necessity, how to make them accessible to all. Robust indicators can play an important part in developing these narratives.

Developing a multi-dimensional view of sustainable prosperity based on transdisciplinary research and dialogue across sectors requires us to break down many barriers. There is a need for us to debate the meaning of 'modelling' and scenario testing alongside measurement and indicators. CUSP, as a team, will reflect on how we work in an interdisciplinary and transdisciplinary way, to explore these issues.

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# **APPENDIX**

# A1: UN Sustainable Development Goals

Note that the SDG Indicator list has 241 indicators however 9 are repeated under two or three categories and therefore the total number of indicators is 230.

### **Goal 1** | End poverty in all its forms everywhere

- 1. Proportion of population below the international poverty line, by sex, age, employment status and geographical location (urban/rural)
- 2. Proportion of population living below the national poverty line, by sex and age
- 3. Proportion of men, women and children of all ages living in poverty in all its dimensions according to national definitions
- 4. Proportion of population covered by social protection floors/systems, by sex, distinguishing children, unemployed persons, older persons, persons with disabilities, pregnant women, newborns, work-injury victims and the poor and the vulnerable
- 5. Proportion of population living in households with access to basic services
- 6. Proportion of total adult population with secure tenure rights to land, with legally recognized documentation and who perceive their rights to land as secure, by sex and by type of tenure
- 7. Number of deaths, missing persons and persons affected by disaster per 100,000 people
- 8. Direct disaster economic loss in relation to global gross domestic product (GDP)
- 9. Number of countries with national and local disaster risk reduction strategies
- 10. Proportion of resources allocated by the government directly to poverty reduction programmes
- 11. Proportion of total government spending on essential services (education, health and social protection)
- 12. Proportion of government recurrent and capital spending to sectors that disproportionately benefit women, the poor and vulnerable groups

# **Goal 2** | End hunger, achieve food security and improved nutrition and promote sustainable agriculture

- 13. Prevalence of undernourishment
- 14. Prevalence of moderate or severe food insecurity in the population, based on the Food Insecurity Experience Scale (FIES)
- 15. Prevalence of stunting (height for age <-2 standard deviation from the median of the World Health Organization (WHO) Child Growth Standards) among children under 5 years of age

- Prevalence of malnutrition (weight for height >+2 or <-2 standard deviation from the median of the WHO Child Growth Standards) among children under 5 years of age, by type (wasting and overweight)
- 17. Volume of production per labour unit by classes of farming/pastoral/forestry enterprise size
- 18. Average income of small-scale food producers, by sex and indigenous status
- 19. Proportion of agricultural area under productive and sustainable agriculture
- 20. Number of plant and animal genetic resources for food and agriculture secured in either medium or long-term conservation facilities
- 21. Proportion of local breeds classified as being at risk, not-at-risk or at unknown level of risk of extinction
- 22. The agriculture orientation index for government expenditures
- 23. Total official flows (official development assistance plus other official flows) to the agriculture sector
- 24. Producer Support Estimate
- 25. Agricultural export subsidies
- 26. Indicator of food price anomalies

# Goal 3 | Ensure healthy lives and promote well-being for all at all ages

- 27. Maternal mortality ratio
- 28. Proportion of births attended by skilled health personnel
- 29. Under-five mortality rate
- 30. Neonatal mortality rate
- 31. Number of new HIV infections per 1,000 uninfected population, by sex, age and key populations
- 32. Tuberculosis incidence per 1,000 population
- 33. Malaria incidence per 1,000 population
- 34. Hepatitis B incidence per 100,000 population
- 35. Number of people requiring interventions against neglected tropical diseases
- 36. Mortality rate attributed to cardiovascular disease, cancer, diabetes or chronic respiratory disease
- 37. Suicide mortality rate
- 38. Coverage of treatment interventions (pharmacological, psychosocial and rehabilitation and aftercare services) for substance use disorders
- 39. Harmful use of alcohol, defined according to the national context as alcohol per capita consumption (aged 15 years and older) within a calendar year in litres of pure alcohol
- 40. Death rate due to road traffic injuries
- 41. Proportion of women of reproductive age (aged 15-49 years) who have their need for family planning satisfied with modern methods
- 42. Adolescent birth rate (aged 10-14 years; aged 15-19 years) per 1,000 women in that age group
- 43. Coverage of essential health services (defined as the average coverage of essential services based on tracer interventions that include reproductive, maternal, newborn and child health, infectious diseases,

non-communicable diseases and service capacity and access, among the general and the most disadvantaged population)

- 44. Number of people covered by health insurance or a public health system per 1,000 population
- 45. Mortality rate attributed to household and ambient air pollution
- 46. Mortality rate attributed to unsafe water, unsafe sanitation and lack of hygiene (exposure to unsafe Water, Sanitation and Hygiene for All (WASH) services)
- 47. Mortality rate attributed to unintentional poisoning
- 48. Age-standardized prevalence of current tobacco use among persons aged 15 years and older
- 49. Proportion of the population with access to affordable medicines and vaccines on a sustainable basis
- 50. Total net official development assistance to medical research and basic health sectors
- 51. Health worker density and distribution
- 52. International Health Regulations (IHR) capacity and health emergency preparedness

# **Goal 4** | Ensure inclusive and equitable quality education and promote lifelong learning opportunities for all

- 53. Proportion of children and young people: (a) in grades 2/3; (b) at the end of primary; and (c) at the end of lower secondary achieving at least a minimum proficiency level in (i) reading and (ii) mathematics, by sex
- 54. Proportion of children under 5 years of age who are developmentally on track in health, learning and psychosocial well-being, by sex
- 55. Participation rate in organized learning (one year before the official primary entry age), by sex
- 56. Participation rate of youth and adults in formal and non-formal education and training in the previous 12 months, by sex
- 57. Proportion of youth and adults with information and communications technology (ICT) skills, by type of skill
- 58. Parity indices (female/male, rural/urban, bottom/top wealth quintile and others such as disability status, indigenous peoples and conflictaffected, as data become available) for all education indicators on this list that can be disaggregated
- 59. Percentage of population in a given age group achieving at least a fixed level of proficiency in functional (a) literacy and (b) numeracy skills, by sex
- 60. Extent to which (i) global citizenship education and (ii) education for sustainable development, including gender equality and human rights, are mainstreamed at all levels in: (a) national education policies, (b) curricula, (c) teacher education and (d) student assessment
- 61. Proportion of schools with access to: (a) electricity; (b) the Internet for pedagogical purposes; (c) computers for pedagogical purposes;
  (d) adapted infrastructure and materials for students with disabilities;
  (e) basic drinking water; (f) single-sex basic sanitation facilities; and (g) basic handwashing facilities (as per the WASH indicator definitions)

- 62. Volume of official development assistance flows for scholarships by sector and type of study
- 63. Proportion of teachers in: (a) pre-primary; (b) primary; (c) lower secondary; and (d) upper secondary education who have received at least the minimum organized teacher training (e.g. pedagogical training) pre-service or in-service required for teaching at the relevant level in a given country

# Goal 5 | Achieve gender equality and empower all women and girls

- 64. Whether or not legal frameworks are in place to promote, enforce and monitor equality and non-discrimination on the basis of sex
- 65. Proportion of ever-partnered women and girls aged 15 years and older subjected to physical, sexual or psychological violence by a current or former intimate partner in the previous 12 months, by form of violence and by age
- 66. Proportion of women and girls aged 15 years and older subjected to sexual violence by persons other than an intimate partner in the previous 12 months, by age and place of occurrence
- 67. Proportion of women aged 20-24 years who were married or in a union before age 15 and before age 18
- 68. Proportion of girls and women aged 15-49 years who have undergone female genital mutilation/cutting, by age
- 69. Proportion of time spent on unpaid domestic and care work, by sex, age and location
- 70. Proportion of seats held by women in national parliaments and local governments
- 71. Proportion of women in managerial positions
- 72. Proportion of women aged 15-49 years who make their own informed decisions regarding sexual relations, contraceptive use and reproductive health care
- 73. Number of countries with laws and regulations that guarantee women aged 15-49 years access to sexual and reproductive health care, information and education
- 74. (a) Proportion of total agricultural population with ownership or secure rights over agricultural land, by sex; and (b) share of women among owners or rights-bearers of agricultural land, by type of tenure
- 75. Proportion of countries where the legal framework (including customary law) guarantees women's equal rights to land ownership and/or control
- 76. Proportion of individuals who own a mobile telephone, by sex
- 77. Proportion of countries with systems to track and make public allocations for gender equality and women's empowerment

# **Goal 6** | Ensure availability and sustainable management of water and sanitation for all

- 78. Proportion of population using safely managed drinking water services
- 79. Proportion of population using safely managed sanitation services, including a hand-washing facility with soap and water
- 80. Proportion of wastewater safely treated

- 81. Proportion of bodies of water with good ambient water quality
- 82. Change in water-use efficiency over time
- 83. Level of water stress: freshwater withdrawal as a proportion of available freshwater resources
- Degree of integrated water resources management implementation (0-100)
- 85. Proportion of transboundary basin area with an operational arrangement for water cooperation
- 86. Change in the extent of water-related ecosystems over time
- 87. Amount of water- and sanitation-related official development assistance that is part of a government-coordinated spending plan
- 88. Proportion of local administrative units with established and operational policies and procedures for participation of local communities in water and sanitation management

# Goal 7 | Ensure access to affordable, reliable, sustainable and modern energy for all

- 89. Proportion of population with access to electricity
- 90. Proportion of population with primary reliance on clean fuels and technology
- 91. Renewable energy share in the total final energy consumption
- 92. Energy intensity measured in terms of primary energy and GDP
- 93. Mobilized amount of United States dollars per year starting in 2020 accountable towards the \$100 billion commitment
- 94. Investments in energy efficiency as a percentage of GDP and the amount of foreign direct investment in financial transfer for infrastructure and technology to sustainable development services

# Goal 8 | Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all

- 95. Annual growth rate of real GDP per capita
- 96. Annual growth rate of real GDP per employed person
- 97. Proportion of informal employment in non-agriculture employment, by sex
- 98. Material footprint, material footprint per capita, and material footprint per GDP
- 99. Domestic material consumption, domestic material consumption per capita, and domestic material consumption per GDP
- 100. Average hourly earnings of female and male employees, by occupation, age and persons with disabilities
- 101. Unemployment rate, by sex, age and persons with disabilities
- 102. Proportion of youth (aged 15-24 years) not in education, employment or training
- 103. Proportion and number of children aged 5-17 years engaged in child labour, by sex and age
- 104. Frequency rates of fatal and non-fatal occupational injuries, by sex and migrant status
- 105. Increase in national compliance of labour rights (freedom of association and collective bargaining) based on International Labour

Organization (ILO) textual sources and national legislation, by sex and migrant status

- 106. Tourism direct GDP as a proportion of total GDP and in growth rate
- 107. Number of jobs in tourism industries as a proportion of total jobs and growth rate of jobs, by sex
- 108. Number of commercial bank branches and automated teller machines (ATMs) per 100,000 adults
- 109. Proportion of adults (15 years and older) with an account at a bank or other financial institution or with a mobile-money-service provider
- 110. Aid for Trade commitments and disbursements
- 111. Total government spending in social protection and employment programmes as a proportion of the national budgets and GDP

# **Goal 9** | Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation

- 112. Proportion of the rural population who live within 2 km of an allseason road
- 113. Passenger and freight volumes, by mode of transport
- 114. Manufacturing value added as a proportion of GDP and per capita
- 115. Manufacturing employment as a proportion of total employment
- 116. Proportion of small-scale industries in total industry value added
- 117. Proportion of small-scale industries with a loan or line of credit
- 118. CO2 emission per unit of value added
- 119. Research and development expenditure as a proportion of GDP
- 120. Researchers (in full-time equivalent) per million inhabitants
- 121. Total official international support (official development assistance plus other official flows) to infrastructure
- 122. Proportion of medium and high-tech industry value added in total value added
- 123. Proportion of population covered by a mobile network, by technology

# Goal 10 | Reduce inequality within and among countries

- 124. Growth rates of household expenditure or income per capita among the bottom 40 per cent of the population and the total population
- 125. Proportion of people living below 50 per cent of median income, by age, sex and persons with disabilities
- 126. Proportion of the population reporting having personally felt discriminated against or harassed within the previous 12 months on the basis of a ground of discrimination prohibited under international human rights law
- 127. Labour share of GDP, comprising wages and social protection transfers
- 128. 10.5.1 Financial Soundness Indicators
- 129. Proportion of members and voting rights of developing countries in international organizations
- 130. Recruitment cost borne by employee as a proportion of yearly income earned in country of destination
- 131. Number of countries that have implemented well-managed migration policies

- 132. Proportion of tariff lines applied to imports from least developed countries and developing countries with zero-tariff
- Total resource flows for development, by recipient and donor countries and type of flow (e.g. official development assistance, foreign direct investment and other flows)
- 134. Remittance costs as a proportion of the amount remitted

# **Goal 11** | Make cities and human settlements inclusive, safe, resilient and sustainable

- 135. Proportion of urban population living in slums, informal settlements or inadequate housing
- 136. Proportion of population that has convenient access to public transport, by sex, age and persons with disabilities
- 137. Ratio of land consumption rate to population growth rate
- 138. Proportion of cities with a direct participation structure of civil society in urban planning and management that operate regularly and democratically
- 139. Total expenditure (public and private) per capita spent on the preservation, protection and conservation of all cultural and natural heritage, by type of heritage (cultural, natural, mixed and World Heritage Centre designation), level of government (national, regional and local/municipal), type of expenditure (operating expenditure/investment) and type of private funding (donations in kind, private non-profit sector and sponsorship)
- 140. Number of deaths, missing persons and persons affected by disaster per 100,000 peoplea
- 141. Direct disaster economic loss in relation to global GDP, including disaster damage to critical infrastructure and disruption of basic services
- 142. Proportion of urban solid waste regularly collected and with adequate final discharge out of total urban solid waste generated, by cities
- 143. Annual mean levels of fine particulate matter (e.g. PM2.5 and PM10) in cities (population weighted)
- 144. Average share of the built-up area of cities that is open space for public use for all, by sex, age and persons with disabilities
- 145. Proportion of persons victim of physical or sexual harassment, by sex, age, disability status and place of occurrence, in the previous 12 months
- 146. Proportion of population living in cities that implement urban and regional development plans integrating population projections and resource needs, by size of city
- 147. Proportion of local governments that adopt and implement local disaster risk reduction strategies in line with the Sendai Framework for Disaster Risk Reduction 2015-2030a
- 148. Number of countries with national and local disaster risk reduction strategies

149. Proportion of financial support to the least developed countries that is allocated to the construction and retrofitting of sustainable, resilient and resource-efficient buildings utilizing local materials

# **Goal 12** | Ensure sustainable consumption and production patterns

- 150. Number of countries with sustainable consumption and production (SCP) national action plans or SCP mainstreamed as a priority or a target into national policies
- 151. Material footprint, material footprint per capita, and material footprint per GDP
- 152. Domestic material consumption, domestic material consumption per capita, and domestic material consumption per GDP
- 153. Global food loss index
- 154. Number of parties to international multilateral environmental agreements on hazardous waste, and other chemicals that meet their commitments and obligations in transmitting information as required by each relevant agreement
- 155. Hazardous waste generated per capita and proportion of hazardous waste treated, by type of treatment
- 156. National recycling rate, tons of material recycled
- 157. Number of companies publishing sustainability reports
- 158. Number of countries implementing sustainable public procurement policies and action plans
- 159. Extent to which (i) global citizenship education and (ii) education for sustainable development (including climate change education) are mainstreamed in (a) national education policies; (b) curricula; (c) teacher education; and (d) student assessment
- 160. Amount of support to developing countries on research and development for sustainable consumption and production and environmentally sound technologies
- 161. Number of sustainable tourism strategies or policies and implemented action plans with agreed monitoring and evaluation tools
- 162. Amount of fossil-fuel subsidies per unit of GDP (production and consumption) and as a proportion of total national expenditure on fossil fuels

#### Goal 13 | Take urgent action to combat climate change and its impacts

- 163. Number of countries with national and local disaster risk reduction strategies
- 164. Number of deaths, missing persons and persons affected by disaster per 100,000 people
- 165. Number of countries that have communicated the establishment or operationalization of an integrated policy/strategy/plan which increases their ability to adapt to the adverse impacts of climate change, and foster climate resilience and low greenhouse gas emissions development in a manner that does not threaten food production (including a national adaptation plan, nationally determined contribution, national communication, biennial update report or other)

- 166. Number of countries that have integrated mitigation, adaptation, impact reduction and early warning into primary, secondary and tertiary curricula
- 167. Number of countries that have communicated the strengthening of institutional, systemic and individual capacity-building to implement adaptation, mitigation and technology transfer, and development actions
- 168. Mobilized amount of United States dollars per year starting in 2020 accountable towards the \$100 billion commitment
- 169. Number of least developed countries and small island developing States that are receiving specialized support, and amount of support, including finance, technology and capacity-building, for mechanisms for raising capacities for effective climate change-related planning and management, including focusing on women, youth and local and marginalized communities

# **Goal 14** | Conserve and sustainably use the oceans, seas and marine resources for sustainable development

- 170. Index of coastal eutrophication and floating plastic debris density
- 171. Proportion of national exclusive economic zones managed using ecosystem-based approaches
- 172. Average marine acidity (pH) measured at agreed suite of representative sampling stations
- 173. Proportion of fish stocks within biologically sustainable levels
- 174. Coverage of protected areas in relation to marine areas
- 175. Progress by countries in the degree of implementation of international instruments aiming to combat illegal, unreported and unregulated fishing
- 176. Sustainable fisheries as a percentage of GDP in small island developing States, least developed countries and all countries
- 177. Proportion of total research budget allocated to research in the field of marine technology
- 178. Progress by countries in the degree of application of a legal/regulatory/policy/institutional framework which recognizes and protects access rights for small-scale fisheries
- 179. Number of countries making progress in ratifying, accepting and implementing through legal, policy and institutional frameworks, ocean-related instruments that implement international law, as reflected in the United Nation Convention on the Law of the Sea, for the conservation and sustainable use of the oceans and their resources

# Goal 15 | Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss

- 180. Forest area as a proportion of total land area
- 181. Proportion of important sites for terrestrial and freshwater biodiversity that are covered by protected areas, by ecosystem type
- 182. Progress towards sustainable forest management

- 183. Proportion of land that is degraded over total land area
- 184. Coverage by protected areas of important sites for mountain biodiversity
- 185. Mountain Green Cover Index
- 186. Red List Index
- 187. Number of countries that have adopted legislative, administrative and policy frameworks to ensure fair and equitable sharing of benefits
- 188. Proportion of traded wildlife that was poached or illicitly trafficked
- Proportion of countries adopting relevant national legislation and adequately resourcing the prevention or control of invasive alien species
- 190. Progress towards national targets established in accordance with Aichi Biodiversity Target 2 of the Strategic Plan for Biodiversity 2011-2020
- 191. Official development assistance and public expenditure on conservation and sustainable use of biodiversity and ecosystems
- 192. Official development assistance and public expenditure on conservation and sustainable use of biodiversity and ecosystems
- 193. Proportion of traded wildlife that was poached or illicitly trafficked

**Goal 16** | Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels

- 194. Number of victims of intentional homicide per 100,000 population, by sex and age
- 195. Conflict-related deaths per 100,000 population, by sex, age and cause
- 196. Proportion of population subjected to physical, psychological or sexual violence in the previous 12 months
- 197. Proportion of population that feel safe walking alone around the area they live
- 198. Proportion of children aged 1-17 years who experienced any physical punishment and/or psychological aggression by caregivers in the past month
- 199. Number of victims of human trafficking per 100,000 population, by sex, age and form of exploitation
- 200. Proportion of young women and men aged 18-29 years who experienced sexual violence by age 18
- 201. Proportion of victims of violence in the previous 12 months who reported their victimization to competent authorities or other officially recognized conflict resolution mechanisms
- 202. Unsentenced detainees as a proportion of overall prison population
- 203. Total value of inward and outward illicit financial flows (in current United States dollars)
- 204. Proportion of seized small arms and light weapons that are recorded and traced, in accordance with international standards and legal instruments
- 205. Proportion of persons who had at least one contact with a public official and who paid a bribe to a public official, or were asked for a bribe by those public officials, during the previous 12 months

- 206. Proportion of businesses that had at least one contact with a public official and that paid a bribe to a public official, or were asked for a bribe by those public officials during the previous 12 months
- 207. Primary government expenditures as a proportion of original approved budget, by sector (or by budget codes or similar)
- 208. Proportion of the population satisfied with their last experience of public services
- 209. Proportions of positions (by sex, age, persons with disabilities and population groups) in public institutions (national and local legislatures, public service, and judiciary) compared to national distributions
- 210. Proportion of population who believe decision-making is inclusive and responsive, by sex, age, disability and population group
- 211. Proportion of members and voting rights of developing countries in international organizations
- 212. Proportion of children under 5 years of age whose births have been registered with a civil authority, by age
- 213. Number of verified cases of killing, kidnapping, enforced disappearance, arbitrary detention and torture of journalists, associated media personnel, trade unionists and human rights advocates in the previous 12 months
- 214. Number of countries that adopt and implement constitutional, statutory and/or policy guarantees for public access to information
- 215. Existence of independent national human rights institutions in compliance with the Paris Principles
- 216. Proportion of population reporting having personally felt discriminated against or harassed in the previous 12 months on the basis of a ground of discrimination prohibited under international human rights law

# **Goal 17** | Strengthen the means of implementation and revitalize the Global Partnership for Sustainable Development

- 217. Total government revenue as a proportion of GDP, by source
- 218. Proportion of domestic budget funded by domestic taxes
- 219. Net official development assistance, total and to least developed countries, as a proportion of the Organization for Economic Cooperation and Development (OECD) Development Assistance Committee donors' gross national income (GNI)
- 220. Foreign direct investments (FDI), official development assistance and South-South Cooperation as a proportion of total domestic budget
- 221. Volume of remittances (in United States dollars) as a proportion of total GDP
- 222. Debt service as a proportion of exports of goods and services
- 223. Number of countries that adopt and implement investment promotion regimes for least developed countries
- 224. Number of science and/or technology cooperation agreements and programmes between countries, by type of cooperation
- 225. Fixed Internet broadband subscriptions per 100 inhabitants, by speed

- 226. Total amount of approved funding for developing countries to promote the development, transfer, dissemination and diffusion of environmentally sound technologies
- 227. Proportion of individuals using the Internet
- 228. Dollar value of financial and technical assistance (including through North-South, South-South and triangular cooperation) committed to developing countries
- 229. Worldwide weighted tariff-average
- 230. Developing countries' and least developed countries' share of global exports
- 231. Average tariffs faced by developing countries, least developed countries and small island developing States
- 232. Macroeconomic Dashboard
- 233. Number of countries with mechanisms in place to enhance policy coherence of sustainable development
- 234. Extent of use of country-owned results frameworks and planning tools by providers of development cooperation
- 235. Number of countries reporting progress in multi-stakeholder development effectiveness monitoring frameworks that support the achievement of the sustainable development goals
- 236. Amount of United States dollars committed to public-private and civil society partnerships
- 237. Proportion of sustainable development indicators produced at the national level with full disaggregation when relevant to the target, in accordance with the Fundamental Principles of Official Statistics
- 238. Number of countries that have national statistical legislation that complies with the Fundamental Principles of Official Statistics
- 239. Number of countries with a national statistical plan that is fully funded and under implementation, by source of funding
- 240. Dollar value of all resources made available to strengthen statistical capacity in developing countries
- 241. Proportion of countries that (a) have conducted at least one population and housing census in the last 10 years; and (b) have achieved 100 per cent birth registration and 80 per cent death registration

# A2: EU sustainable development indicators (SDIs)

# Socioeconomic development

- 1. Real GDP per capita
- 2. Investment by institutional sectors
- 3. Dispersion of regional GDP per inhabitant
- 4. Net national income
- 5. Household saving rate
- 6. Real adjusted gross disposable income of households per capita
- 7. Labour productivity per hour worked
- 8. Total R&D expenditure
- 9. Real effective exchange rate 37 trading partners
- 10. Turnover from innovation
- 11. Energy intensity of the economy
- 12. Eco-innovation index
- 13. Total employment rate
- 14. Employment rate by educational attainment level
- 15. Dispersion of regional employment rates, by sex
- 16. Total unemployment rate
- 17. Young people neither in employment nor in education and training (15-24 years) % of the total population in the same age group
- 18. Nominal unit labour cost 3 years % change

# Sustainable consumption and production

- 19. Resource productivity
- 20. Generation of waste excluding major mineral wastes
- 21. Components of domestic material consumption
- 22. Domestic material consumption by material 1 000 t
- 23. Municipal waste generation and treatment, by type of treatment method
- 24. Generation of hazardous waste by economic activity
- 25. Emissions of sulphur oxides (SOx) by source sector
- 26. Emissions of nitrogen oxides (NOx) by source sector
- 27. Emissions of non-methane volatile organic compounds (NMVOC) by source sector
- 28. Emissions of ammonia (NH3), by source sector
- 29. Electricity consumption by households
- 30. Final energy consumption by sector
- 31. Motorisation rate
- 32. Organisations and sites with eco-management and audit scheme (EMAS) registration
- 33. Ecolabel licenses
- 34. Area under agri-environmental commitment
- 35. Area under organic farming
- 36. Livestock density index
- 37. Number of persons in households

38. Final consumption expenditure of households, by consumption purpose

# **Social inclusion**

- 39. People at risk of poverty or social exclusion
- 40. Persistent-at-risk-of-poverty rate
- 41. Relative median at-risk-of-poverty gap
- 42. Inequality of income distribution
- 43. Severely materially deprived people
- 44. People at risk of poverty after social transfers
- 45. People living in households with very low work intensity
- 46. In work at-risk-of-poverty rate
- 47. Long-term unemployment rate, by sex
- 48. Gender pay gap in unadjusted form
- 49. Early leavers from education and training
- 50. At-risk-of-poverty-rate, by highest level of education attained
- 51. At most lower secondary educational attainment by age
- 52. Lifelong learning
- 53. Low reading literacy performance of pupils
- 54. Individuals' level of computer skills
- 55. Individuals' level of internet skills
- 56. Tertiary educational attainment by sex, age group 30-34
- 57. Public expenditure on education

# Demographic changes

- 58. Employment rate of older workers
- 59. Total fertility rate
- 60. Crude rate of net migration plus adjustment
- 61. Healthy life years and life expectancy at age 65, by sex
- 62. Crude rate of population change
- 63. Aggregate replacement ratio
- 64. General government gross debt
- 65. Duration of working life
- 66. Old-age-dependency ratio
- 67. Projected old-age dependency ratio
- 68. Population projections
- 69. Pension expenditure projections (baseline scenario)
- 70. Expenditure on care for elderly

# **Public health**

- 71. Life expectancy and healthy life years
- 72. Death rate due to chronic diseases
- 73. Suicide death rate, by age group
- 74. Self-reported unmet needs for medical care due to being too expensive, by income quintile
- 75. People having a long-standing illness or health problem, by income quintile
- 76. Production of toxic chemicals, by toxicity class
- 77. Urban population exposure to air pollution by particulate matter
- 78. Urban population exposure to air pollution by ozone

- 79. Proportion of population living in households considering that they suffer from noise
- 80. Non-fatal accidents at work by sex

# Climate and energy

- 81. Greenhouse gas emissions
- 82. Primary energy consumption
- 83. Greenhouse gas emissions by sector
- 84. Greenhouse gas emissions intensity of energy consumption
- 85. Energy dependence
- 86. Share of renewable energy in gross final energy consumption
- 87. Gross inland energy consumption by fuel type
- 88. Electricity generated from renewable sources
- 89. Share of renewable energy in fuel consumption of transport
- 90. Combined heat and power generation
- 91. Projections of greenhouse gas emissions
- 92. Global and European surface temperature deviation

# Sustainable transport

- 93. Energy consumption of transport relative to GDP
- 94. Modal split of freight transport
- 95. Volume of freight transport relative to GDP
- 96. Modal split of passenger transport
- 97. Volume of passenger transport relative to GDP
- 98. Energy consumption of transport, by mode
- 99. Greenhouse gas emissions from transport
- 100. People killed in road accidents
- 101. Average CO2 emissions per kilometre from new passenger cars
- 102. Emissions of nitrogen oxides from transport
- 103. Emissions of particulate matter from transport
- 104. HICP annual average indices for transport prices

# **Natural resources**

- 105. Common bird index
- 106. Sufficiency of sites designated under the EU Habitats directive
- 107. Water exploitation index
- 108. Population connected to urban wastewater treatment with at least secondary treatment
- 109. Biochemical oxygen demand in rivers
- 110. Fishing fleet, total engine power
- 111. Artificial land cover
- 112. Forest increment and fellings
- 113. Nutrient balance on agricultural land
- 114. Deadwood

# **Global partnership**

- 115. Official development assistance as share of gross national income
- 116. Imports from developing countries by income group
- 117. Imports from developing countries by group of products
- 118. Imports from least-developed countries by group of products

- 119. Aggregated measurement of support for agriculture
- 120. Financing for developing countries
- 121. Foreign direct investment in developing countries, by income group
- 122. Official development assistance, by income group
- 123. Untied official development assistance
- 124. Bilateral official development assistance, by category
- 125. CO2 emissions per inhabitant in the EU and in developing countries
- 126. Official Development Assistance per capita in donor and recipient countries
- 127. Population living on less than 1.90 USD per day
- 128. Population with sustainable access to an improved water source

# Good governance

- 129. Citizens' confidence in EU institutions
- 130. Infringement cases
- 131. Transposition deficit of EU law
- 132. Voter turnout in national and EU parliamentary elections
- 133. Shares of environmental and labour taxes in total tax revenues from taxes and social contributions
- 134. Implicit tax rate on energy

# A3: UK sustainable development indicators (SDIs)

# Economy

- 1. GDP
- 2. GDP per head
- 3. Median income
- 4. Per cent of adults unemployed over 12 months
- 5. Children in relative low income households
- 6. Children in absolute low income households
- 7. Value of human capital stock
- 8. Value of human capital per head
- 9. Population estimates and projections
- 10. Household estimates and projections
- 11. Public sector net debt and public sector net borrowing as proportion of GDP
- 12. Percentage of eligible workers in a workplace pension scheme
- 13. Total non-financial assets net worth
- 14. Expenditure on R&D performed in UK business
- 15. Expenditure on R&D related to environmental protection expenditure
- 16. Value of environmental goods and services sector

### Social

- 17. Healthy life expectancy at birth (males)
- 18. Healthy life expectancy at birth (females)
- 19. Proportion of people engaging in actions addressing issues of public concern
- 20. Proportion of people engaging in any volunteering activity
- 21. Proportion of people who have someone to rely on
- 22. The percentage of people who agree strongly that they felt they belonged to their neighbourhood
- 23. Proportion of adults from less advantaged groups in managerial or professional positions
- 24. Net additional dwellings
- 25. Mortality from deaths considered avoidable
- 26. Mortality from deaths considered preventable
- 27. Mortality from deaths considered amenable
- 28. Percentage of adults overweight or obese
- 29. Percentage of children (2-15) overweight or obese
- 30. Prevalence of smoking among adults
- 31. Proportion of urban trips under 5 miles taken by walking or cycling
- 32. Proportion of urban trips under 5 miles taken by public transport
- 33. Proportion of adults doing the recommended 150 minutes of physical activity each week
- 34. Average daily consumption of fruit and vegetables
- 35. Incidence of birth weight less than 2,500g in full term live births in England
- 36. Number of air pollution days classed as moderate or higher rural

- 37. Number of air pollution days classed as moderate or higher urban
- 38. Percentage of the population affected by noise
- 39. Number of households in fuel poverty

### Environment

- 40. Greenhouse gas emitted within the UK
- 41. Greenhouse gas emissions associated with UK consumption
- 42. Raw material consumption construction materials
- 43. Raw material consumption non-construction materials
- 44. Breeding farmland birds
- 45. Breeding woodland birds
- 46. Breeding wetland birds
- 47. Breeding seabirds
- 48. Estimated direct actual abstractions from non-tidal surface waters and groundwaters
- 49. Energy supply (CO2 emissions)
- 50. Transport (CO2 emissions)
- 51. Business (CO2 emissions)
- 52. Residential (CO2 emissions)
- 53. Other (CO2 emissions)
- 54. Proportion of gross energy consumption from renewable sources
- 55. Mean SAP rating of existing housing
- 56. Mean SAP rating of new homes
- 57. Proportion of household waste recycled
- 58. Proportion of construction and demolition waste recovered
- 59. Land use and development
- 60. Origins of food consumer in the UK
- 61. Proportion of rivers with biological quality classed as good or high
- 62. Proportion of rivers which pass on chemical status
- 63. Percentage of fish stocks harvested sustainably
- 64. Percentage of UK species of European importance in favourable or improving conservation status
- 65. Percentage of UK habitats of European importance in favourable or improving conservation status
- 66. UK biodiversity impact overseas